

# Smith-Waterman Search

The *Smith-Waterman Search* plugin adds a complete implementation of [the Smith-Waterman algorithm](#) to UGENE.

To use the plugin open a nucleotide or protein sequence in the *Sequence View* and select the *Analyze Find pattern [Smith-Waterman]* item in the context menu. The *Smith-Waterman Search* dialog appears:

Smith-Waterman Search

Smith-Waterman parameters

Input and output

Enter pattern here

Search in

☒ Sequence

☐ Translation

Strand

☐ Both

☒ Direct

☐ Complement

Region

Whole sequence

1

-

16571

Smith-Waterman algorithm parameters

Algorithm version

SSE2

Advanced

Scoring matrix

dna

View..

Gap scores

Gap open

-10

Gap extension

-1

Results filtering strategy

Report results

filter-inter

Minimal score

90%

Help

Cancel

Search

## Algorithm

This search algorithm has a **huge** calculation time advantage over the usual [Search in sequence](#) algorithm for **large sequences**. It happens, because the "Search in sequence" algorithm represents a suffix algorithm - one sequence moves along the other and areas opposite each other are compared. Using this approach, the computation time increases in direct proportion to the length of the sequence. The *Smith-Waterman algorithm* represents absolutely another approach - searching using a certain kind of matrices.

The algorithm works in a following way:

1. A matrix is created where the sequence in which the search is carried out is located vertically, and the searched sequence is located horizontally. Fill the adjacent row and column with 0. Example:  
The sequence in which the search is carried out - ACGCGAT.  
The searched sequence - CGCG.

| D <sub>(i,j)</sub> |   | C | G | C | G |
|--------------------|---|---|---|---|---|
|                    | 0 | 0 | 0 | 0 | 0 |
| A                  | 0 |   |   |   |   |
| C                  | 0 |   |   |   |   |
| G                  | 0 |   |   |   |   |
| C                  | 0 |   |   |   |   |
| G                  | 0 |   |   |   |   |
| A                  | 0 |   |   |   |   |

|   |   |  |  |  |  |
|---|---|--|--|--|--|
| T | 0 |  |  |  |  |
|---|---|--|--|--|--|

2. Start filling the matrix from the left top corner moving from left to right and from top to bottom using the following formula:

$$D(S1, S2) = \text{Max} \begin{cases} D(S1-1, S2) + \text{gap} \\ D(S1, S2-1) + \text{gap} \\ D(S1-1, S2-1) + \text{score} \\ 0 \end{cases}$$

Where:

$D(S1, S2)$  - current cell,

$D(S1-1, S2)$  - the cell on the left,

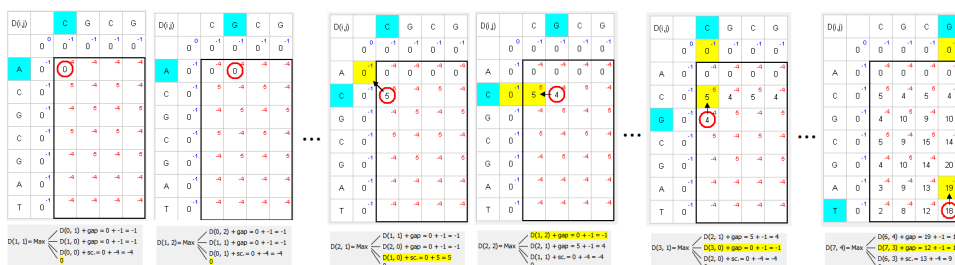
$D(S1, S2-1)$  - the cell above,

$D(S1-1, S2-1)$  - the cell on the top and left,

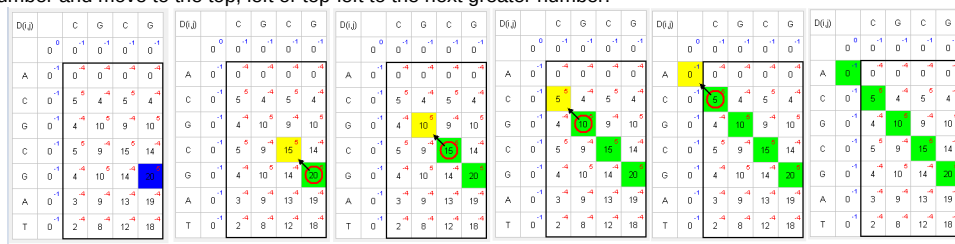
*gap* - the *Gap open* penalty if we meet gap for the first time and the *Gap extension* penalty if we meet gap the second time or more.

*score* - the value from the *Scoring matrix* table.

The following example uses **nucl Scoring matrix** (+5 for match, -4 for mismatch) and -1 for *Gap open* and *Gap extension* penalties:



3. Find the greater number and move to the top, left or top-left to the next greater number:



Matching green symbols indicate the desired sequence intersection.

## Parameters

First of all you need to specify the pattern to search for. The rest parameters are optional:

*Search in* — select either to search in the sequence or in its amino acid translation.

*Strand* — select the strand to search in: direct, reverse-complementary or both strands.

*Region* — specifies the region of the sequence that will be used to search for the pattern. By default, if a subsequence has been selected when the dialog has been opened, then the selected subsequence is searched for the pattern. Otherwise, the whole sequence is used. You can also input a custom range.

*Algorithm version* — version of the algorithm implementation. All versions produce the same result - only the inner technology is used in a different ways:

- *Classic 2* - classical implementation of the algorithm. Works everywhere.
- *SSE2* - the algorithm, which works much faster, but requires you to have [SSE2](#) processor.

*Scoring matrix* — can be chosen from a bunch of matrices supplied with UGENE. To view a matrix selected click the *View* button.

*Gap open* — penalty for opening a gap.

*Gap extension* — penalty for extending a gap.

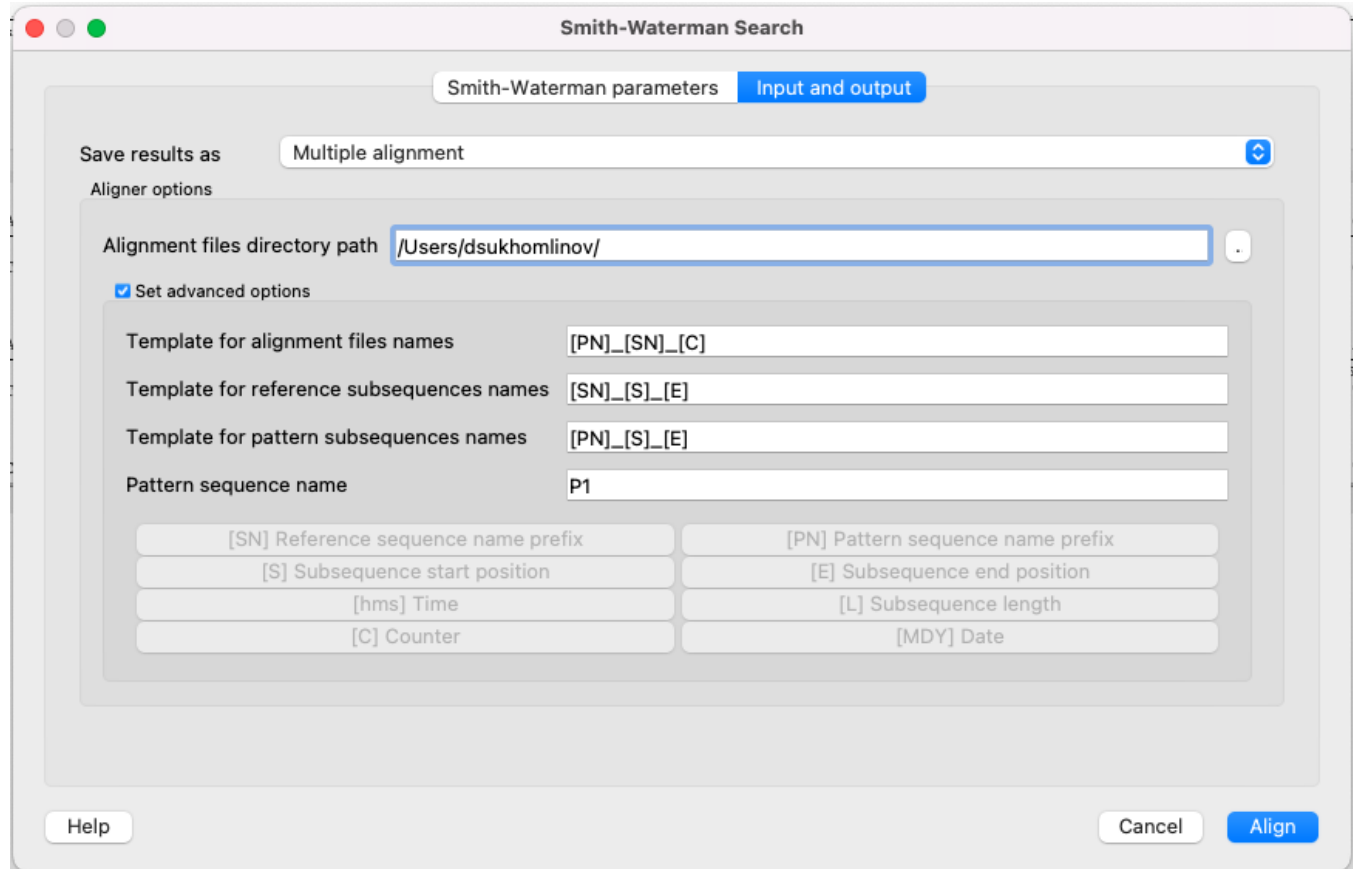
*Report results* — simple heuristic which allows to filter intersected hits. If it is set to *none*, the algorithm may report large set of almost identical results in the same region.

*Minimal score* — another simple heuristic which measures sequences similarity. It is more convenient than using some abstract scores. If set to 100%, the algorithm will search for exact substring match.

## Input and output

The results of the search are saved as annotations or as multiple alignment. To set the saving parameters go to the *Input and output* tab of the dialog.

- If you want to save the results as annotations input [the annotations saving](#) parameters (*Annotation name*, *Group name*, *Annotation type*, *Description* and a file to save the annotation to). Also you can add qualifier with corresponding pattern subsequences to result annotations. Check the corresponding checkbox for it.
- If you want to save the results as multiple alignment select the following parameters:



The image shows a macOS-style dialog box titled "Smith-Waterman Search". It has two tabs: "Smith-Waterman parameters" and "Input and output", with the latter being selected. Under "Save results as", a dropdown menu shows "Multiple alignment". Below this, the "Aligner options" section is visible. It contains a text field for "Alignment files directory path" with the value "/Users/dsukhomlinov/". A checkbox labeled "Set advanced options" is checked. Below the checkbox, there are four text input fields for templates: "Template for alignment files names" (value: [PN]\_[SN]\_[C]), "Template for reference subsequences names" (value: [SN]\_[S]\_[E]), "Template for pattern subsequences names" (value: [PN]\_[S]\_[E]), and "Pattern sequence name" (value: P1). At the bottom of the advanced options section, there are two columns of buttons. The left column contains: "[SN] Reference sequence name prefix", "[S] Subsequence start position", "[hms] Time", and "[C] Counter". The right column contains: "[PN] Pattern sequence name prefix", "[E] Subsequence end position", "[L] Subsequence length", and "[MDY] Date". At the bottom of the dialog, there are three buttons: "Help", "Cancel", and "Align".

Here you can select a file to save the alignment to (*Alignment files directory path* parameter).

Using the *Set advanced options* checkbox you can select the saving options.

You can set the different templates for files names: create your own or create by using the following: [E] — adds a subsequence end position, [hms] — adds a time, [MDY] — adds a date, [S] — adds a subsequence start position, [L] — adds a subsequence length, [SN] — adds a reference sequence name prefix, [PN] — adds a pattern sequence name prefix, [C] — adds a counter.

You can create templates for alignment files names, reference subsequence names, pattern subsequence names and for pattern sequence name:

File Actions Settings Tools Window Help

Project

Name filter

Objects

- human\_T1.fa
- [s] human\_T1 (UCSC April 2002...
- P1\_human\_T1\_1.aln**
- [m] P1\_human\_T1\_1

Consensus

Reference subsequence

Pattern subsequence

Alignment

|                      | 1  | 2 | 3 | 4 | 5 | 6 | 7 | 8  |
|----------------------|----|---|---|---|---|---|---|----|
| human T1 57274 57281 | [1 | A | C | G | T | A | C | T] |
| P1_1_7               | [1 | A | C | G | T | A | C | G] |

Find: Ln 1 / 2 Col 1 / 8 Pos 1 / 8